

# EXHIBIT 3

Westlaw.

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Page 1

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United States District Court, D. Delaware.

Barbara L. HAYES, Plaintiff,

v.

THE BOARD OF EDUCATION FOR THE CAPE  
 HENLOPEN SCHOOL DISTRICT, Defendant.

No. Civ.A. 02-55-SLR.

Jan. 3, 2003.

#### MEMORANDUM ORDER

ROBINSON, J.

\*1 At Wilmington this 3rd day of January, 2003, having reviewed the papers submitted;

IT IS ORDERED that defendant's motion to dismiss (D.I.9) is granted, for the reasons that follow:

1. On January 22, 2002 plaintiff Barbara L. Hayes ("Hayes") filed this action seeking reimbursement of expenses and consultant's fees as a prevailing party under the Individuals with Disabilities Education Act ("IDEA"), 20 U.S.C. § 1415.<sup>FN1</sup> (D.I.1) Hayes contends that she was forced to institute a due process hearing action because defendant, The Board of Education for the Cape Henlopen School District ("The Board"), refused to provide services to which her disabled minor son was entitled under the IDEA. Hayes additionally asserts claims under 29 U.S.C. § 794, 42 U.S.C. § 1983 and contends punitive damages should be awarded because The Board has refused to implement the decision of a due process hearing panel. (*Id.*, letter dated 11/25/01) Hayes indicates the hearing panel ruled in her favor and ordered the Board to provide services for her son.<sup>FN2</sup>

FN1. Congress enacted the IDEA "to assure that all children with disabilities have available to them ... a free appropriate public education which emphasizes special education and related services designed to meet their unique needs." *Cedar Rapids Cmty. Sch. Dist. v. Garret F.*, 526 U.S. 66, 68 (1999) (quoting 20 U.S.C. § 1400(c)). The "centerpiece" of the IDEA's education delivery system is the "individualized education program," or "IEP." *Honig v. Doe*, 484 U.S. 305, 311 (1988). The IEP, the

result of collaborations between parents, educators, and representatives of the school district, "sets out the child's present educational performance, establishes annual and short-term objectives for improvements in that performance, and describes the specially designed instruction and services that will enable the child to meet those objectives." *Id.*

FN2. The panel decided that: 1) Hayes' son is entitled to special educational services by reason of having an "other health impairment;" 2) The Board should promptly convene an IEP team meeting to develop an appropriate IEP for the son; 3) the IEP team must consider what compensatory services, including summer services, to which the son is entitled under § 504 of the Rehabilitation Act; and 4) if The Board does not promptly formulate an appropriate IEP and if the compensatory services aspect of the plan is not addressed adequately, "Ms. Hayes is encouraged to take appropriate action." (D.I. 1; 10, Ex. A) The Board indicates neither side has appealed the panel's decision. (D.I.10)

2. The Board has filed an answer and moved to dismiss the case. (D.I.4, 9, 10) After Hayes requested an extension of time (D.I.11), the court enlarged the time to respond to the motion to dismiss, to exhaust administrative remedies and to find counsel to represent the interests of her son. (D.I.13) By letter dated July 14, 2002, Hayes advised the court that she wished to sever her son's claims from her own "because [she] cannot find an attorney that [she] can afford to represent [them]." <sup>FN3</sup> (D.I.14) She argues that she is an injured party under the IDEA and wishes to pursue the instant lawsuit *pro se*. <sup>FN4</sup> See *Collinsgru v. Palmyra Board of Education*, 161 F.3d 225 (3d Cir.1998).

FN3. No lawsuit, however, has been filed on behalf of her son, specifically naming her son as the plaintiff.

FN4. Hayes indicates that because a due process hearing panel issued a decision on July 11, 2002, she has exhausted her

administrative remedies as mandated by the court's order. Although the disposition of the second due process hearing panel is provided by neither side, the court accepts Hayes' undisputed representation that the matter was decided in July 2002 and, therefore, the exhaustion requirement has been satisfied. The second action sought reimbursement for services expended during the first hearing and for reimbursement of tuition for her son's education in a private school in Vermont. (D.I. 14; 10, Ex. B)

3. In analyzing a motion to dismiss pursuant to Rule 12(b)(6), the court must accept as true all material allegations of the complaint and it must construe the complaint in favor of the plaintiff. See *Trump Hotels & Casino Resorts, Inc. v. Mirage Resorts, Inc.*, 140 F.3d 478, 483 (3d Cir.1998). "A complaint should be dismissed only if, after accepting as true all of the facts alleged in the complaint, and drawing all reasonable inferences in the plaintiff's favor, no relief could be granted under any set of facts consistent with the allegations of the complaint." *Id.* Claims may be dismissed pursuant to a Rule 12(b)(6) motion only if the plaintiff cannot demonstrate any set of facts that would entitle him to relief. See *Conley v. Gibson*, 355 U.S. 41, 45-46 (1957). Where the plaintiff is a *pro se* litigant, the court has an obligation to construe the complaint liberally. See *Haines v. Kerner*, 404 U.S. 519, 520-521 (1972); *Urrutia v. Harrisburg County Police Dep't.*, 91 F.3d 451, 456 (3d Cir.1996). The moving party has the burden of persuasion. See *Kehr Packages, Inc. v. Fidelcor, Inc.*, 926 F.2d 1406, 1409 (3d Cir.1991).

4. It has long been established that a federal court litigant has the right to proceed without counsel or *pro se*. 28 U.S.C. § 1654. However, in the area of suits brought by *pro se* parents on behalf of children, the United States Court of Appeals for the Third Circuit has established definitive prerequisites for maintaining actions. For example, a non-attorney parent cannot represent his or her children in a tort action in federal court. See *Osei-Afriyie v. Medical College of Pa.*, 937 F.2d 876, 882 (3d Cir.1991). The Third Circuit has reasoned that "it helps to ensure that children rightfully entitled to legal relief are not deprived of their day in court by unskilled, if caring, parents." *Id.* at 236. This holding applies to cases instituted by *pro se* parents under the IDEA. *Collinsgru*, 161 F.3d 225, 231.

\*2 According to the Court:

In the IDEA, Congress expressly provided that

parents were entitled to represent their child in administrative proceedings. That it did not also carve out an exception to permit parents to represent their child in federal proceedings suggests that Congress only intended to let parents represent their children in administrative proceedings.

*Id.* at 232. Moreover, after an exhaustive examination of the statute, legislative history and case law, the Third Circuit has determined that Congress intended certain areas of the IDEA to apply directly to children and not to their parents. *Id.* at 234-237. The Court wrote: "We conclude that the IDEA's language and legislative history, as well as relevant case law and policy considerations, suggest that Congress did not clearly intend to create joint rights in parents under the IDEA." *Id.* at 236. Along these lines, a parent cannot institute an action to enforce rights under the IDEA even if the parent contends that he or she is truly the injured party. *Id.* (IDEA rights are divisible not concurrent).

5. Applying this authority to Hayes' claims under the IDEA, the court finds the action must be dismissed as Hayes' minor son is the real party in interest. The violations Hayes asserts are based upon the rights afforded to her son under the IDEA and are neither transferrable nor severable to her. *Id.* Moreover, to the extent Hayes seeks recovery of an "award of attorney's fees to the parents of a child with a disability who is the prevailing party" under 20 U.S.C. § 1415(i)(3)(B), the Third Circuit has limited such awards to the child who is the prevailing party and has specifically rejected classifying the parent as the prevailing party. *Id.* at 234.

6. Hayes next contends that "I as Plaintiff and my son were victims of harassment and intimidation under Section 504." (D.I. 1 at 4) See 29 U.S.C. § 794. "While [the] IDEA sets forth a positive right to a 'free appropriate public education,' § 504 bars all federally funded entities (governmental or otherwise) from discriminating on the basis of disability." *Susavage v. Bucks County Schools Intermediate Unit No. 22*, 2002 WL 109615, at 18 (E.D. Pa 2002), quoting, *W.B. v. Matula*, 67 F.3d 484, 502 (3d Cir.1995). To assert a viable claim under § 504, four factors must be established, *Nathanson v. Medical College of Pennsylvania*, 926 F.2d 1368, 1380 (3d Cir.1991), the first of which, that she is a disabled individual, the court finds that Hayes has failed to demonstrate.

7. Finally, although Hayes has invoked 42 U.S.C. § 1983 in her complaint, she has not provided any

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Page 3

allegations for the court to infer the substance of this argument.

D.Del.,2003.  
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